



PTO/SB/21 (08-08)

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## TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	10/657,997
Filing Date	09/09/2003
First Named Inventor	Charles Ray Jones
Art Unit	3629
Examiner Name	McCormick, Gabrielle A
Attorney Docket Number	

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none"> <li>1. Substitute specification</li> <li>2. Return postcard</li> </ul>
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Tankha Law Office		
Signature	<i>A. Tankha</i>		
Printed name	Ashok Tankha		
Date	Sep. 13, 2008	Reg. No.	33,802

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re. application of:

Application No.: 10/657,997

Filed: 09/09/2003

Applicant: Charles Ray Jones

Examiner: McCormick, Gabrielle A  
Art Unit: 3629

Title: Automated Issue-Communication Method that Significantly Improves an Organization's Safety Culture and Corporate Forthrightness by Encouraging the Communication of Issues and Concerns, Circumventing Middle-Management Filters while Suppressing "Whistleblower" Creation

Mail Stop Amendment  
Commissioner for Patents  
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A. Tankha

Ashok Tankha

Sep. 13, 08

Date

### Amendment In Response To Office Action

Sir:

In response to the office action dated 07/30/2008, please amend the above-identified application as follows:

**Amendments to the Specification:** Amendments to the specification are listed on page 2 of the response.

**Amendments to the Claims** are reflected in the listing of claims, which begin on page 4 of this response.

Remarks begin on page 7 of this response.

**Attachments:**

1. Transmittal Form, PTO/SB/21;
2. Petition for 1 month Extension of Time Under 37 CFR 1.136(a), Form PTO/SB/22;
3. Payment of \$60 for 1 month time extension by credit card, Form PTO-2038:  
The Director is hereby authorized to charge any underpayment of fee, or any other fee that may be required to deposit account # 503291;
4. Substitute specification with statement that substitute specification contains no new matter; and
5. Return postcard for USPTO to acknowledge receipt of this response.



SUBSTITUTE SPECIFICATION (CLEAN COPY WITHOUT MARK-UPS)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application No.: 10/657,997

Filed: 09/09/2003

Applicant: Charles Ray Jones

Examiner: McCormick, Gabrielle A  
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**STATEMENT OF NO NEW MATTER**

Applicant hereby verifies that the clean copy (without markings) of the substitute specification of the above identified patent application contains no new matter.

Respectfully submitted,

Date: Sep 13, 2008

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